

raising compliance awareness to strengthening compliance capacity

activities

- *7 compliance books and 3 practical compliance manuals*
- *policy recommendations*
- *Compliance trainings and compliance forums*
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- first public institute to promote corporate compliance in China
- the anti-corruption movement of Chinese government
- ties with multiple Chinese departments
- network of enterprises, compliance experts, industrial associations and relevant institutions

advantages

supporting government departments to enhance corporate compliance of Chinese enterprises (mainly SOEs)

State-owned Assets Supervision and Administration Commission

- formally issued *Compliance Management Guide for SOEs* on Nov. 2

National Development and Reform Commission(NDRC)

- issued *Compliance Management Guide for Enterprises Operating Overseas*(draft for comments) on Jul. 5

towards collective action

China Council for the Promotion of International Trade(CCPIT), China Enterprise Confederation and NATC has cofounded CCPIT **China Corporate Compliance Promotion Alliance(CCCPA)** in May, 2018.



dialog with central and provincial governments



unite industrial associations and institutions to push collective action



issue practical guides for Chinese enterprises to establish compliance system



platform for market participants to share knowledge and address compliance challenges

- ✓ *We are preparing the application report to be submitted to Ministry of Human Resources and Social Security to list compliance officers as a formal profession in China and develop a certification system.*